



Sharp Securities Limited

TREC No. : 216, Dhaka Stock Exchange Limited.

CLIENT SIGNATURE CARD

BO Account No :

| | | | | | | | | | | | | | | |
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| 1 | 2 | 0 | 3 | 1 | 8 | 0 | 0 | | | | | | | |
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 Code : _____

| 1 st Applicant | 2 nd Applicant | Authorized Person | Power of Attorney |
|---------------------------|---------------------------|-------------------|-------------------|
| Photo | Photo | Photo | Photo |
| Name: | | | |
| ✓ | | | |



Sharp Securities Limited

TREC No. : 216, Dhaka Stock Exchange Ltd.

BSEC Reg. No : 3.1/DSE-216/2005/91

Customer Account Information Form

Photograph of 1st
Account Holder
attested by
Account Holder

| | | | | | | | |
|------|--|--|--|--|--|--|--|
| Date | | | | | | | |
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| Account No. | | | | | | | |
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| BO ID No. | 1 | 2 | 0 | 3 | 1 | 8 | 0 | 0 | | | | | | | | |
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Status: Individual ☐ Joint ☐ Organization ☐ Category : Local ☐ NRB ☐ Foreign ☐ Type Cash ☐ Margin ☐

Special Remarks, if any:

PARTICULARS OF PRINCIPAL APPLICANT

Full Name (in block letters):

In case of an Organization, Name of CEO/MD:

Registration No. :

TIN No.:

Date of Incorporation:

Father's Name:

Mother's Name:

Spouse's Name (if applicable):

DOB:

Sex: Male ☐

Female ☐

Profession:

Nationality:

National ID No:

TIN No (if any)

Passport No.:

Place of Issue:

Date of Issue:

Bank Name:.....Branch Name:.....

Routing No. :.....Bank Account No:.....

Present Address:

Permanent Address:

Phone:

Fax:

Phone:

Fax:

Mobile:

E-mail:

PARTICULARS OF JOINT APPLICANT

Full Name (in block letters):

Father's Name:

Mother's Name:

Relationship with the Principal Applicant:

Date of Birth:

Sex Male ☐

Female ☐

Profession:

Photograph of
Joint Account Holder
duly attested by the
Account Holder

Nationality:

National ID No:

TIN Number (if any):

Passport No.:

Place of Issue:

Date of Issue:

Present Address:

Permanent Address:

Phone:

Fax:

Phone:

Fax:

Mobile:

E-mail:

PARTICULARS OF AUTHORIZED PERSON (IF ANY)


| | | |
|--------------------------------------|--|---|
| Full Name (in block letters): | | Photograph of authorised Person duly attested by the Account Holder |
| Father's Name: | | |
| Date of Birth: | NID No. | |
| Address: | Signature of the Authorized Person with date | |
| Phone: Mobile : | | |
| E-mail: | | |

Whether the Customer (s) is / are an Officer or Director of any Stock Exchange / Listed Company? ☐ Yes ☐ No

| |
|---|
| If yes, mention Name & Address of the Stock Exchange / Listed Company |
| |

DECLARATION

I/We the undersigned person(s) whose particulars are given above request you to register me/us as a Customer under Sharp Securities Limited. I/We confirm that the information furnished above are true and if anything wrong I/we shall be held responsible for that.

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Signature of 1st Applicant with date

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Signature of Joint Applicant with date

PARTICULARS OF INTRODUCER

| |
|-----------------------|
| Account No. (If any): |
| Name and Address: |
| |
| Contact Number: |

I certify that I have known.....
and confirm his/her/their particulars elsewhere in the application.

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Signature of Introducer with date

FOR OFFICIAL USE ONLY

| | |
|---------------|--|
| A/C Open Date | |
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Account may be opened

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Checked by

| | |
|-------------|--|
| Account No. | |
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Signature of Reviewer

Sharp Securities Limited

TREC No. : 216, Dhaka Stock Exchange Ltd.

DSE Tower, Room # 245, Level # 12, Plot # 46, Road # 21, Nikunja-2, Dhaka-1229

(TERMS AND CONDITIONS FOR OPENING A CUSTOMER ACCOUNT)

DECLARATION

I/We the undersigned whose information is given in the Customer Account opening Information Form hereby request to be registered as a client of Sharp Securities Limited and intend to open a brokerage customer account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by Sharp Securities Limited.

TERMS AND CONDITIONS

A written instruction shall be given to Sharp Securities Limited from time to time to purchase and / or sell securities (which shall mean and include stocks, bonds, debenture, mutual funds and private placement or any other similar financial instrument as many be made available from time to time.) on behalf of the account holder. On receipt of such instruction along with a cash deposit or delivery of securities, Sharp Securities Limited shall, so far as Sharp Securities Limited considers it reasonably practicable, purchase and / or sell Securities in accordance with those instructions, provided always that (i) any such dealings do not contravene any applicable laws or regulations, (ii) Sharp Securities Limited shall have an absolute discretion to accept or reject purchase / sell instruction and (iii) account holder's instruction shall include the following details:

- ☐ The name of the Securities
- ☐ Quantity
- ☐ Price with notification of limit or discretion
- ☐ The duration of the order
- ☐ The nature of the lot (i.e scrip size) for sale or the desired format for purchase order (See Note)
- ☐ The order should specify completion formats of:
 - ☐ All or partial fill with minimum trade value or number of shares
 - ☐ Mode of execution i.e DVP (delivery versus payment) / NON DVP (non delivery versus payment)

(Note: The number of shares constituting a "market lot" may change. Seller must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered.)

Joint Accounts: If this is a joint account, unless the account holders notify Sharp Securities Limited otherwise and provide such documentation, as Sharp Securities Limited may require at its sole discretion, the brokerage customer account(s) shall be held by the account holders jointly with rights of survivorship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. Sharp Securities Limited shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to Sharp Securities Limited pursuant to this agreement, whether incurred by either or both of the account holders.

Jumbo and Market Lots: When an account holder wishes to sell stock, he/she/must inform Sharp Securities Limited as to the format of the lot being sold, for example 5000 shares in 10's and 2000 in 5's (Jumbo lots are difficult to split with the company and so face a considerable discount to market price)

Risk: The account holder understand that the stock market is a rapidly changing market and that there is and inherent risk in incurring loss in share dealings.

Limit Orders: While Sharp Securities Limited will endeavor to purchase or sell the Securities within the limits of the prices that may be notified by the account holder. Sharp Securities Limited does not guarantee or assure that the transactions will be materialized with on such notified limits. Sharp Securities Limited Will always endeavor to obtain the best price.

Dhaka Stock Exchange Rules: All transactions duly concluded through and recognized by the Dhaka Stock Exchange Limited (hereafter DSE) is governed by the respective Rules of DSE relating to trading and settlement in particular and will be binding on both the parties concerned.

Payment and Disclosure: Sharp Securities Limited shall not be obliged to make any payment on behalf of the account holder. Sharp Securities Limited may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of the government or public body upon request and Sharp Securities Limited will not be liable in any way to account holder for so doing.

Cancellation Provisions: Sharp Securities Limited is authorized, in its discretion, should the undersigned die or should Sharp Securities Limited for any reason whatever deems it necessary for its protection, without notice, to cancel any outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

Indemnity: In the event of default, omission or act committed by Sharp Securities Limited as a broker/member of the DSE the account holder shall be indemnified if and only as provided by the Rules and regulations of the DSE.

Confirmations and Statements: Sharp Securities Limited shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instruction; and (b) contract notes (in such form as Sharp Securities Limited shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE Howla number equivalent provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all our instructions.

Fees and Expenses: The account holder will pay a brokerage commission of.....and any other related expenses and charged that may from time to time be applicable. Every transaction concluded through and recognized by the DSE is subject to transaction levies or other fees imposed by the DSE. The account holder understands acknowledges and accepts that the rate of commission may be changed from time to time.

Set-off: Sharp Securities Limited shall be entitled to, in respect of all commission, costs, charges or expenses, set off from any monies from time to time held by Sharp Securities Limited for the account holder and if such monies are insufficient for the purpose to sell any Security held by or Sharp Securities Limited any of its agents on behalf of the account holder without notification, recourse or instruction from the account holder.

Termination: This Agreement will stand terminated; 7 days prior written instruction of the Account Holder to Sharp Securities Limited terminating this agreement or on the written instruction of Sharp Securities Limited to the Account Holder for termination this Agreement Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues.

Assignment: The benefits/rights and burdens/obligations of this Agreement is capable of assignment by both the account holder and Sharp Securities Limited without the consent of the other but the notice of assignment must be given to the other in writing.

Force Majeure: Sharp Securities Limited shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or force majeure or circumstance beyond its control.

Forged Shares: Sharp Securities Limited shall not be liable or responsible for any shares that are found to be forged. However, Sharp Securities Limited will make every attempt to replace the forged shares from the seller.

Applicable Law: The terms and conditions contained herein shall be governed by and be construed in accordance with the laws of Bangladesh.

Notice:

- (a) All notice, instructions or other communications shall be given English and in writing (Facsimile, telex, telegram, cable or letter) Or Orally and shall be sent to Sharp Securities Limited and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties hereto in writing.
- (b) Proof of delivery or dispatch shall be:
 - (i) In the case of a letter: dispatch of the letter duly stamped and addressed.
 - (ii) In the case of facsimile, telex, telegram or cable on the day of dispatch with physical, mechanical or actual confirmation.
- (c) All oral notices, instructions or other communications should be confirmed in writing within 24 hours.

Definitions: Words defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have this same defined meaning wherever used.

Sums due: For all purposes, including any legal proceedings, a certificate by any of Sharp Securities Limited Officer confirming the monies and/ or liabilities for the time being due and/or incurred to by the account holder shall be conclusive evidence thereof against him/her.

Disputes and Resolution of Disputes: In case of any dispute arising between the Sharp Securities Limited and the account holder in connection with the interpretation or enforcement of the terms and conditions contained herein, attempts should be made to settle the matter amicably, in case of failure to settle the matter amicably, the same shall be referred to arbitration of two arbitrators, each to be nominated by the parties who shall also appoint an umpire. The decision of the arbitrators, so nominated, shall be binding on both the parties. In case the Arbitrators differ in their finding, the decision of the Chairman shall be final and binding on parties. The arbitration shall be conducted in accordance with the Arbitration Act. 2001.

I/we have read the terms and conditions contained herein above, and having agreed with such terms and conditions.

I/we put my/our/company seal and signature on this the_____ day of _____,20_____.



Signature of principal account holder

Signature of joint account holder

BO Account Opening Form

CDBL Bye Laws

(Bye law 7.3.3 (b))

Form 02

Please complete all details in CAPITAL letters. **Please fill all names correctly. Names Once captured cannot be changed.** All communication shall be sent only to the First Name Account holder's correspondence address.

Application No.....

Date(DDMMYYY).....

Please Tick whichever is applicable

BO Category: Regular ☐ Omnibus ☐ Clearing ☐ **BO Type:** Individual ☐ Company ☐ Joint Holder ☐

[illegible]

I / We request you to open a Depository Account in my / our name as per the following details:

1. First Applicant

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------------|----------------------|----------------------|----------------------|----------------------|
| Name in Full of Account Holder (Up to 99 Characters)..... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Short Name of Account Holder (Insert full name starting with Title i.e.Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) | | | | | | | | | | | | | | | | | | | | | | | | | | | | Title i.e.Mr./Mrs./Ms./Dr. | | | | |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| (In case of a Company / Firm / Statutory Body) Name of Contact Person..... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| In Case of Individual Male <input type="checkbox"/> Female <input type="checkbox"/> Occupation (30 Characters)..... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Father's/Husband's Name..... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Mother's Name..... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

2. Contact Details :

Address

City.....Post Code.....State/Devision.....Country.....Telephone.....

Mobile Phone.....Fax.....E-mail.....

3. Passport Details :

| | | | |
|------------------|------------------|-----------------|------------------|
| Passport No..... | Issue Place..... | Issue Date..... | Expiry Date..... |
|------------------|------------------|-----------------|------------------|

4. Bank Details :

Bank Name:.....Branch Name:.....Account No.....

Electronic Dividend Credit: Yes ☐ No ☐ Tax Exemption if any: Yes ☐ No ☐ TIN/Tax ID:

5. Others Information :

Residency: Resident ☐ Non Resident ☐ Nationality.....Date of Birth (DD/MM/YYYY)

Statement Cycle Code daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ (Other Specify).....

Internal Ref. No.(To be filled in by CDBL Participant).....

In Case of Company : Date of Registration(DDMMYYYY)

Registration No.

6. Joint Applicant (Second Account Holder)

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Name in Full of Account Holder (Up to 99 Characters) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Short Name of Account Holder (<i>Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr.</i> abbreviate only if over 30 characters) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Title i.e.Mr./Mrs./Ms./Dr. | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

7. Joint Applicant (Third Account Holder)

| | | |
|---|--|---------------------------|
| Name in Full of Account Holder (Up to 99 Characters) Short Name of Account Holder (Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr. abbreviate only if over 30 characters) | | Title i.e.Mr./Mrs./Ms/Dr. |
| | | |

8. Account Link Request

Would you like to create a link to your existing Depository Account? Yes ☐ No ☐

If yes, then please provide the Depository BO Account Code (8 Digits) :

9. Nominees/ Heirs

If account holder (s) wish to nominate person (s) who will be entitled to receive securities outstanding In the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form - 23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

10. Power of Attorney (POA)

If account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, separate Form-20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

11. To be filled in by the Stock Broker/Stock Exchange in case the application is for opening a Clearing Account.

Exchange Name DSE ☐ Trading ID216..... CSE ☐ Trading ID

12. Photograph

| | | |
|--|--|--|
| Please paste recent passport size Photograph | Please paste recent passport size Photograph | Please paste recent passport size Photograph |
| (First Applicant) | (Second Applicant) | (Third Applicant) |

13. Standing Instructions

I/We authorize you to receive facsimile (fax) transfer instructions for delivery. Yes ☐ No ☐

14. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and i/we have understood the same and i/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my /our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

| Applicants | Name | Signature |
|------------------|------|-----------|
| First Applicant | | ✓ |
| Second Applicant | | |
| Third Applicant | | |

15. Special Instruction on operation of Joint Account.

☐ Either of Survivor ☐ Anyone can operate ☐ Any two will operate jointly

Account will be operating bywith anyone of the others.

16. Introduction

Introduction by and existing account holder of **Sharp Securities Limited**

I confirm the identity, occupation and address of the applicants)
Introducer's Name

.....Account ID

.....(Signature of Introducer)

Central Depository Bangladesh Ltd. (CDBL)

Depository Account (BO Account) opened with CDBL Participant

Terms & Conditions Bye Law 7.3.3. (C)

Dear Sir,

Please open a Depository Account (BO Account) in my/our name(s) on the terms and conditions set out below. In consideration of **Sharp Securities Limited**, (the "CDBL Participant") opening the Account providing depository account facilities to me/us, I/we have signed the BO Account opening form as a token of acceptance of the terms and conditions set out below:

01. I/we agree to be bound by the Depositories Act 1999, Depositories Regulations 2000, the Depository (User) Regulations 2003, and abide by the Bye Laws and operating instructions issued from time by CDBL
02. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
03. I/We agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon for the purpose of opening and maintaining my/or Account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transaction through the said depository account with the CDBL Participant
04. I/We shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents.
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all time that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction/transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination & signature etc;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
05. I/we shall notify the CDBL Participant of any change (s) in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL participant form time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
06. Where I/we have executed a BO Account Nomination Form
 - (a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
 - (b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
 - (c) The nominee so authorized, shall be entitled to all my/our accounts to the exclusion of all other persons i.e my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
07. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and on fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the account closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account, the account may be closed by me/us in one of the following ways:
 - (a) By materialization of all existing balances in my/our account;

- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant (s);
- (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other accounts(s) with any other CDBL Participant (s);
08. CDBL Participant covenants that it shall
- (a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- (b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- (c) maintain adequate audit trail of the execution of the instructions of the Account Holder
- (d) not honour or act upon any instruction for effecting any debit to the account of the account Holder in respect of any securities unless;
- (i) Such instructions are issued by the Account Holder under his/her signature or that of his/her/its constituted attorney duly authorized in that behalf;
- (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instruction are issued matches with specimen signature of the Account Holder or his/her/its constituted attorney available on the records of the CDBL Participant.
- (iii) The balance of clear securities available in the account Holder's account are sufficient to honour the Account Holder's instructions.
- (e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistake, inaccuracy or discrepancies in such statements.
- (f) promptly attend to all grievances/complaints of the Account Holder and shall resolve all such grievances/complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/complaints of the account holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
09. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court of the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act or moral turpitude in his/her/its dealings with the CDBL Participant.
- (d) Otherwise misconducts himself/herself in any manner.
10. Declaration and Signature
- I/We hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to Comply with them.

| Applicants | Full Name | Signature with date |
|--------------------------------|-----------|---------------------|
| First Applicant | | ✓ |
| Second Applicant | | |
| 3rd Signatory Ltd. Co. only | | |

Please complete all details in CAPITAL letters. Please fill all names correctly. All communications shall be sent to the correspondence address of only the first Named Account Holder as specified in BO Account Opening Form-02

Application No..... Date (DDMMYYYY).....

Name of CDBL Participant (Up to 99 Characters) CDBL Participant ID

..... *Sharp Securities Limited*

| | | | | |
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| 3 | 1 | 8 | 0 | 0 |
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Account holders BO ID

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| 2 | 0 | 3 | 1 | 8 | 0 | 0 |
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| |
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Name of Account Holder (Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr.abbreviate only if over 30 Characters)

I/We nominate the following person(s) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder/all the joint holders.

1. Nominee / Heirs Details :

| | |
|---|---|
| Nominee 1 | |
| Name in full..... | |
| Short Name of Nominee (Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr. abbreviate only if over 30 characters) | Title i.e.Mr./Mrs |
| <div style="border: 1px solid black; width: 100%; height: 20px;"></div> | <div style="border: 1px solid black; width: 100%; height: 20px;"></div> |
| Relationship with A/C Holder Percentage (%)..... | |
| Address. | |
| City Post Code State/Division..... Country..... | |
| Telephone..... Mobile Phone..... Fax..... E-mail..... | |
| Passport No..... Issue Place Issue Date Expiry Date | |
| Residency : Resident <input type="checkbox"/> Non Resident <input type="checkbox"/> Nationality..... Date of Birth (DDMMYYYY) <div style="border: 1px solid black; width: 100%; height: 20px;"></div> | |
| Guardian's Details (if Nominee is a Minor) | |
| Name in Full..... | |
| Short Name (Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr. abbreviate only if over 30 characters) | |
| <div style="border: 1px solid black; width: 100%; height: 20px;"></div> | |
| Relationship with Nominee Date of Birth of Minor (DDMMYYYY)..... Maturity Date of Minor (DDMMYYYY)..... | |
| Address. | |
| City Post Code State/Division..... Country..... Telephone..... | |
| Mobile Phone..... Fax..... E-mail..... | |
| Passport No..... Issue Place..... Issue Date..... Expiry Date..... | |
| Residency:Resident <input type="checkbox"/> Non Resident <input type="checkbox"/> Nationality..... Date of Birth (DDMMYYYY) <div style="border: 1px solid black; width: 100%; height: 20px;"></div> | |

Name in Full.....

Title i.e. Mr./Mrs.

[illegible]

| | | | | |
|--|--|--|--|--|
| | | | | |
|--|--|--|--|--|

Address.

Mobile Phone.....Fax.....E-mail.....

Residency : Resident ☐ Non Resident ☐ Nationality.....Date of Birth (DDMMYYYY)

Name in Full.....

[illegible]

Address.

Mobile Phone.....Fax.....E-mail.....

Residency: Resident ☐ Non Resident ☐ Nationality.....Date of Birth (DDMMYYYY)

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

The diagram illustrates the experimental design with four boxes arranged horizontally. Each box contains the text "Please past recent passport size Photograph" and is labeled below as "Nominee / Heir 1", "Nominee / Heir 2", "Guardian 1", and "Guardian 2" respectively.

| | Name | Signature |
|-----------------------|------|-----------|
| Nominee / Heir 1 | | ✓ |
| Guardian 1 | | |
| Nominee / Heir 2 | | |
| Guardian 2 | | |
| First Account Holder | | ✓ |
| Second Account Holder | | |



Sharp Securities Limited

BSEC Reg. No : 3.1/DSE-216/2005/91

KYC Profile Form

Code Number :

BO ID

Applicant's Name :

Type : ☐ Local ☐ NRB

☐ IPO ☐ Invest ☐ MaxCap

Identity Verification

National ID.....is photocopy obtained? ☐ YES ☐ NO

Passport.....is photocopy obtained? ☐ YES ☐ NO

Driving License.....is photocopy obtained? ☐ YES ☐ NO

e-TIN.....is photocopy obtained? ☐ YES ☐ NO

Occupation Identification

Occupation :Monthly income

in detail :

Sourcess of Fund : ☐ Salary ☐ Business Income ☐ Rental Income ☐ Remittance

☐ Family member's Income ☐ Other

Politically exposed Persons

☐ Yes

☐ No

If yes, approval from Senior Management

Signature

Beneficial Owner

(in case of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more)

Risk Assessment

☐ High

☐ Low

Comments (if any)

| | | |
|--------------------|---------------------|---------------|
| ✓ Signature | A/C Opening Officer | Reviewer by : |
| | Signature | Signature |
| | Name | Name |
| | Date | Date |

Required Document

Individual Account

- ☐ Three copies Passport size photograph of the account holder. [Attested by accountholder]
- ☐ Copy of National ID Card, Valid Passport, Driving License or other Govt. issued photo ID.
- ☐ Copy of Bank Cheque Leaf
- ☐ One copy Passport size photograph of the Nominee(s), if any. [Attested by account holder]
- ☐ Copy of National ID card / Valid Passport of Nominee
- ☐ One copy Passport size photograph of authorized person, if any. [Attested by account holder]
- ☐ Copy of National ID card / Valid Passport of audiorized person (if any).
- ☐ TIN certificate (to enjoy Tax Benefit)

Joint Account

- ☐ Three copies Passport size photograph of Joint holder. [Attested by accountholder]
- ☐ Copy of National ID card / Valid Passport / Driving License of Joint Accountholder.

NRB Account

- ☐ Three copies Passport size photograph of Account holder. [Attested by accountholder]
- ☐ Photocopy of Passport (with valid visa) of account holder
- ☐ Copy of Service agreement/Work permit/Residence Permit/Business documents
- ☐ Copy of Cheque Leaf of Foreign Currency (FC) Bank account and Bank certificate containing name of the applicant, name of father & mother and bank account number.
- ☐ Copy of National ID card / Birth certificate / Valid Passport of Nominee.
- ☐ One copy Passport size photograph of the Nominee(s), if any. [Attested by account older].
- ☐ Photocopy of National ID / Valid passport of Power-of-Attorney.
- ☐ Copy notarized Power-of-Attorney acknowledgement.

Local Institution / Partnership / Trust / Provident Fund

- ☐ Board resolution regarding opening of Trading and BO Account.
- ☐ Copy of Memorandum of Association and Articles of Association.
- ☐ Copy of Registration from RJSC and trade license.
- ☐ Copy of Certificate of Incorporation, Commencement of Business and Latest copy of Form XII.
- ☐ Three copies passport size photograph of CEO / Managing Director.
- ☐ Partnership deed (if Partnership firm), Trustee deed (if Provident Fund).
- ☐ Copy of Reorganization Letter from NBR (if Provident Fund)
- ☐ NID/Passport or other Govt. Issued photo ID of Chairman and MD/CEO and Authorized Persons
- ☐ List of authorized signatories to operate the account with specimen signature and photograph.
- ☐ TIN certificate (to enjoy Tax Benefit)
- ☐ Cheque Leaf of Bank Account and Bank certificate containing name of the Company / Trust & bank account number